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### **FACSIMILE COVER SHEET**

### In the UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Chris Campbell

Application No. 09/963,283

Filed: 09/26/2001

Title: Catalyst Element Having a Thermal Barrier Coating as the Catalyst Substrate

Examiner: unknown

Art Unit: 3746

### **→** FACSIMILE ATTN TO: **EXAMINER** FAX NO.: 703-872-9302

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Asst. Commissioner for Patents Washington, D.C. 20231

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		Application Number	09/963,283			
TRANSMITTAL			Filing Date	November 6, 2003		
FORM (to be used for all correspondence after initial filing)		First Named Inventor	Chris Campbell			
		Art Unit	3746			
			Examiner Name	NA		
Total Number of Pages	In This Submission	5	Attorney Docket Number	2001P17799US		
		ENCL	OSURES (check all that apply)			
Fee Transmittal Fo	orm	Assignition (for an a	ment Papers Application)	After Allowance Communication to Group		
Fee Attached		☐ Drawin	g(a)	Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	ponse	Licensi	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition	า	Proprietary Information		
Affidavits/deci			n to Convert to a ional Application	Status Letter		
			of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):		
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Date November 6, 2003						
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PATENT Attorney Docket No. 01P17799US

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Inventor:	Campbell, et al.	)		
		)	Group Art Unit:	3746
Serial No.:	09/963,283	)		
		)	Examiner:	NA
Filed:	09/26/01	)		

Title:

Catalyst Elements Having a Thermal Barrier Coating as the Catalyst

Substrate

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner is respectfully requested to consider and make of record the three (3) references listed on the enclosed Form PTO/SB/08A submitted herewith. The references are being submitted before the receipt of a first Office action on the merits in connection with this case, and presumably no fee is required.

As provided in 37 C.F.R. § 1.97(g) and (h), the Information Disclosure Statements filed in this case shall not be construed either as a representation that a search has been made or as an admission that the information cited in the statement is or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). The filing of the Information Disclosure Statements shall not be construed as an admission against interest in any manner.

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Serial No. 09/963,283 Atty. Doc. No. 01P17799US

### **CONCLUSION**

Should the Examiner have any questions concerning this paper or application, or if any undeveloped issues remain, the examiner is respectfully requested to contact Applicant's undersigned attorney by telephone to resolve such questions or issues. All correspondence should continue to be directed to our below-listed address.

The commissioner is hereby authorized to charge any appropriate fees due in connection with this paper or credit any overpayments to Deposit Account No. 19-2179.

Dated: 11/6/03

Respectfully submitte

Ву:

Erik C. Swanson Registration No. 40,194

(407) 736-5602

Siemens Corporation Intellectual Property Department 186 Wood Avenue South Iselin, New Jersey 08830

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Decument Number			Name of Patentee or Applicant of		
Examiner Cite Initials No.	Number - Kind Code <sup>2</sup> (# knawn)	Publication Date MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relev Passages or Relevant Figures Appear	
•••		US-4,603,547	08-05-1986	William C. Pfefferie, et al.	
		US-6,272,863	08-14-2001	William C. Prefferie, et al.	
		US-6,358,879	03-19-2002	William C. Pfefferle, et al.	
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FOREIGN PATENT DOCUMENTS						
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Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) Path MM-DD-YYY		Applicant of Cited Document	Passages or Relevant Figures Appear	7 <sup>6</sup>
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Examiner Signature		Date Considered	

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